

AUG 27 2007

**FAX COVER SHEET**

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**PLEASE DELIVER IMMEDIATELY!**

DATE: August 27, 2007

TO: Commissioner of Patents (571) 273-8300

United States Patent and  
Trademark Office

FROM: John W. Goldschmidt, Jr.

NO. OF PAGES: 19

CLIENT / MATTER: 22830-67061

USER ID NUMBER: 1181

COMMENTS: Inventor: William F. Walsh  
Appl. No.: 09/588,389  
Filing Date: June 6, 2000  
Title: METHOD, APPARATUS AND COMPUTER-BASED PROGRAM FOR  
EXCLUSIVE INVESTMENT OF DEBENTURE CREDIT  
Docket No: 05-1076  
C/M Ref. No. 22830-67061  
Facsimile Transaction Date: August 27, 2007 Atty/staff: JWG/sac

The U.S. Patent and Trademark Office acknowledges and has stamped hereon the date of receipt of the following items: Return Facsimile Cover Sheet (1 page); Transmittal Letter (1 page); Transmittal Form (1 page); Fee Transmittal Form (1 page); Petition for Extension of Time Under 37 C.F.R. 1.136(a) (1 page); Amendment (13 pages); - all filed via facsimile to Patent Central Fax (571) 273-8300.

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## DILWORTH PAXSON LLP

LAW OFFICES

DIRECT DIAL NUMBER:  
(215) 575-7181JOHN W. GOLDSCHMIDT, JR.  
jgoldschmidt@dilworthlaw.com

August 27, 2007

VIA TELEFAXCommissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RE: U.S. Patent Application Serial No.: 09/588,389  
Entitled: METHOD, APPARATUS AND COMPUTER-BASED PROGRAM FOR  
EXCLUSIVE INVESTMENT OF DEBENTURE CREDIT  
Inventor: William F. Walsh  
Attorney Docket No.: 05-1076

Dear Sir:

In reference to the above-captioned application, enclosed herewith are the following:

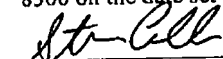
1. Transmittal Form (1 page);
2. Fee Transmittal Form (1 page);
3. Petition for Extension of Time Under 37 C.F.R. 1.136(a) (1 page);
4. Amendment (13 pages);
5. Credit Card Authorization Form (1 page); and
6. Facsimile Cover Sheet for Auto Reply Facsimile Transmission (1 page).

The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment related to this submission to Deposit Account Number 50-0979.

Respectfully submitted,

John W. Goldschmidt, Jr.  
Registration No. 34,828JWG/sac  
EnclosuresCERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence, and all papers and things referred to herein as being attached, enclosed, transmitted, or otherwise submitted, are being facsimile transmitted to the U.S. Patent and Trademark Office at (571) 273-8300 on the date set forth below.

  
Steven A. Cobb

Date: August 27, 2007

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703550\_1 (215) 575-7000 • FAX (215) 575-7200 • www.dilworthlaw.comThe PTO did not receive the following  
listed item(s): Credit Card payment form

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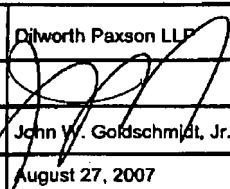
PTO/SB/21 (07-06)


Approved for use through 09/30/2006. OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/588,389
	Filing Date	June 6, 2000
	First Named Inventor	William F. Walsh
	Art Unit	3691
	Examiner Name	Samuel Wels
	Attorney Docket Number	05-1076
Total Number of Pages in This Submission		19

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Transmittal Letter; Credit Card Authorization Form; Return Facsimile Cover Sheet
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Dilworth Paxson LLP	
Signature		
Printed name	John W. Goldschmidt, Jr.	
Date	August 27, 2007	Reg. No. 34,828

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature		
Typed or printed name	Steven A. Cobb	Date August 27, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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AUG 27 2007

PTO/SB/17 (04-07)

Approved for use through 04/30/2007. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**  
**For FY 2007**☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 510

**Complete if Known**

Application Number	09/588,389
Filing Date	June 6, 2000
First Named Inventor	William F. Walsh
Examiner Name	Samuel Wies
Art Unit	3691
Attorney Docket No.	05-1076

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 50-0979 Deposit Account Name: Dilworth Paxson LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Fee (\$)	Small Entity Fee (\$)
50	25
200	100
360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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25 - 20 or HP =	-7	x	25	=	0
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HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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3 - 3 or HP =	-7	x	100	=	0
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HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
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- 100 =		/ 50 =		(round up to a whole number) x		=	
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**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Petition for Extension of Time (3 Months); and Information Disclosure Statement**SUBMITTED BY**

Signature	Registration No. 34,828 (Attorney/Agent)	Telephone 215-575-7000
Name (Print/Type) John W. Golischmidt, Jr.		Date August 27, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments or suggestions to reduce this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20590.

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Void date: 08/29/2007  
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